

1 **The Prognostic Effect of American Joint Committee on Cancer Staging**  
2 **and Genetic Status in Patients with Choroidal and Ciliary Body**  
3 **Melanoma**

4

5 Mette Bagger<sup>1,2</sup>, Morten T. Andersen<sup>1</sup>, Klaus Kaae Andersen<sup>3</sup>, Steffen Heegaard<sup>2,4</sup>, Mette K.  
6 Andersen<sup>1</sup>, Jens F. Kiilgaard<sup>2</sup>

7

8 <sup>1</sup>Department of Clinical Genetics, Rigshospitalet, Copenhagen, Denmark

9 <sup>2</sup>Department of Ophthalmology, Copenhagen University Hospital, Glostrup, Denmark

10 <sup>3</sup>Department of Statistics, Bioinformatics and Registry, Danish Cancer Society Research Center,  
11 Copenhagen, Denmark

12 <sup>4</sup>Eye Pathology Institute, University of Copenhagen, Denmark

13

14 Commercial relationships: All authors declare no conflict of interest.

15 Grant information: This study was funded by research grants from Fight for Sight Denmark, The  
16 Research Fund of Rigshospitalet and The Danish Medical Research Grant/The Højmossegård  
17 Grant.

18 Keywords: Choroidal melanoma, ciliary body melanoma, prognostication, AJCC staging,  
19 chromosome 3, chromosome 8

20 Manuscript word count: 3 617 words

21 Running head: Combination of AJCC staging and genetic status

22

23 **Abstract**

24 **Purpose:** To evaluate the prognostic effect of a combination of American Joint Committee on  
25 Cancer (AJCC) staging (7<sup>th</sup> ed.) and genetic status in patients with posterior uveal melanoma.

26 **Methods:** A consecutive cohort of 153 patients with posterior uveal melanoma treated at  
27 Copenhagen University Hospital from January 1, 2009 through December 31, 2012 was followed  
28 until October 2014. Survival, AJCC stage and cytogenetic data were registered. AJCC stage was  
29 available for all patients, and cytogenetic information of chromosomes 3 and 8 was available for  
30 139 patients. The individual and joint prognostic effect of AJCC staging and cytogenetic changes  
31 were evaluated by cumulative incidence curves and Cox proportional hazard models.

32 **Results:** An overall 5 year survival rate of 62% (95% CI: 0.50–0.73) was observed. A normal  
33 genetic status of chromosomes 3 and 8, as found in 42 patients (30%) minimized the additional  
34 prognostic effect of AJCC staging. The frequency of tumors with normal genetic status decreased  
35 with increasing AJCC stage. Both AJCC stage III (hazard ratio (HR): 11.0, 95% CI: 1.4–85.6) and  
36 abnormal copy number of chromosomes 3 (HR: 6.3, 95% CI: 1.4–28.3) and 8 (HR: 2.8, 95% CI:  
37 1.03–7.8) were identified as significant predictors of a poor prognosis in the multivariate Cox  
38 regression analysis.

39 **Conclusion:** Identification of a normal genetic status of chromosomes 3 and 8 minimized the  
40 prognostic effect of AJCC staging, while a combination of genetic status and AJCC staging  
41 provided the most accurate prediction of survival in patients with an abnormal chromosomal status.

42

## 43 **Introduction**

44 Despite radical treatment of the primary tumor, close to 50% of patients with choroidal and ciliary  
45 body melanoma die from metastatic disease.<sup>1</sup> Accurate prediction of prognosis is therefore  
46 important in relation to patient counseling and planning of follow-up. Intensified follow-up for early  
47 detection of metastatic disease in high-risk patients could allow for life-sustaining treatment options  
48 such as liver resection.<sup>2</sup>

49 Clinical, pathological and genetic factors are continually evaluated to identify patients with a high  
50 metastatic potential. Increasing tumor size has been shown to be one of the most important clinical  
51 characteristics.<sup>3</sup> Tumor staging by the American Joint Committee on Cancer (AJCC)<sup>4</sup> evaluates  
52 tumor size along with other clinical features to identify a poor prognosis, including involvement of  
53 the ciliary body, extra scleral growth and tumor dissemination at diagnosis. The AJCC staging  
54 system (7<sup>th</sup> ed.) has been proved to be a good predictor of survival in patients with posterior uveal  
55 melanoma.<sup>5,6</sup> A poor prognosis is also associated with specific genetic markers such as acquired  
56 loss of chromosome 3, gain of chromosome 8 and to some extent loss of chromosome 1p.<sup>7-10</sup> Loss  
57 of chromosome 6q has also been associated with poor survival,<sup>9</sup> while gain of chromosome 6p  
58 seems to predict a favorable prognosis.<sup>11,12</sup>

59 Genetic mutations in uveal melanoma-related genes such as BAP1, GNAQ, GNA11, SF3B1 and  
60 EIF1AX have recently been identified and shown to provide additional prognostic accuracy.<sup>13</sup> The  
61 assessment of genetic status by Gene Expression Profiling (GEP) divides tumors into two groups  
62 representing a low risk (class 1) and a high risk (class 2) of metastatic disease.<sup>14,15</sup> It has been  
63 proposed that GEP could be used to predict prognosis without the evaluation of clinical  
64 parameters.<sup>14</sup>

65 The aim of this study was to evaluate the individual and combined prognostic effect of clinical  
66 tumor characteristics captured in the AJCC staging system 7<sup>th</sup> ed. and genetic status determined  
67 as the presence or absence of abnormalities in chromosomes 3 and 8 in a consecutive cohort of  
68 patients with choroidal and ciliary body melanoma.

## 69 **Methods**

70 All patients from the eastern part of Denmark who were treated for ciliary and choroidal melanoma  
71 in the period from January 1, 2009 to December 31, 2012 were included in the study. All 153  
72 patients were treated and followed by the Ocular Tumor Division at the Copenhagen University  
73 Hospital. Approximately 65% of all posterior uveal melanoma cases in Denmark are managed at  
74 this national referral center. The study group represents a crude estimated incidence rate of 1.03  
75 per 100 000 person years (the population of the catchment area is approximately 3 700 000).

76 Tumor tissue was obtained in 148 patients by a transvitreal retinochoroidal (TVRC) biopsy as  
77 described previously.<sup>16</sup> The diagnosis of ciliary and choroidal melanoma was confirmed by  
78 histopathological examination of the specimen. The biopsy was sent for genetic testing in 146  
79 cases. Biopsy was declined by the patient in three cases and omitted due to poor visibility in two  
80 patients (one due to a dense cataract and one due to the tumor). The diagnosis of these five  
81 patients was confirmed with histopathological examination after enucleation in three cases and  
82 relied on clinical examination, ultrasonography B-scan and magnetic resonance imaging (MRI) in  
83 two cases. The design of the study was a single-center consecutive retrospective cohort study, and  
84 registration with an International Committee of Medical Journal Editors clinical trial database was

85 therefore not needed. The Regional Research Ethical Committee in Copenhagen waived the need  
86 for approval of this retrospective study. The study was conducted in accordance with the tenets of  
87 the World Medical Association's Declaration of Helsinki. All patients were offered biopsy for genetic  
88 testing and were informed of known and potential risks. Oral informed consent was obtained from  
89 all patients prior to treatment.

90 Fluorescence in situ hybridization (FISH) analysis was carried out using a telomeric probe for  
91 chromosome 1p (Vysis TelVysion 1p) and centromeric probes for chromosome 3 (CEP3 D3Z1), 6  
92 (CEP6 D6Z1) and 8 (CEP8) (All probes from Abbott Molecular Inc, Des Plaines, IL, USA,  
93 www.abbottmolecular.com). The analysis was performed in accordance with the manufacturer's  
94 recommended procedures. At least 100 cells from each specimen were evaluated when possible  
95 and abnormalities were reported when more than 10% of the cells showed cytogenetic changes. A  
96 cut off of 5% would have classified an additional four patients as having an abnormal chromosomal  
97 status. None of the four patients had developed metastatic disease by the study endpoint.

98 Supplementary multiplex ligation-dependent probe amplification (MLPA) analysis (SALSA MLPA  
99 P027 Uveal melanoma, MRC-Holland, Amsterdam, the Netherlands) was performed on samples  
100 from all patients treated in 2012 and retrospectively in patients with available tumor tissue from  
101 snap frozen biopsies 2009–2011. In cases of disagreement between FISH and MLPA results, the  
102 greatest aberration from disomy was registered. The chromosomal abnormalities were either loss,  
103 gain, or both loss and gain, of a whole or partial chromosome. Tumor stages were classified in  
104 accordance with the AJCC tumor node metastasis (TNM) classification scheme<sup>4</sup>. Patient charts  
105 were reviewed and information on survival, AJCC stage and genetic status of chromosomes 1, 3, 6  
106 and 8 were collected and entered into a database (Microsoft access 2010, Microsoft, Redmond,  
107 WA, USA).

108 Data sampling was performed on October 1, 2014, thus allowing for a minimum of 21 months of  
109 follow-up. Only one patient was lost to follow-up, due to emigration. All patients were offered a  
110 physical examination, liver function tests, chest X-ray and liver ultrasonography at 3, 6, 12, 18, 24,  
111 30, 36, 48, 60, 84 and 120 months post treatment. If metastases were suspected an MRI or  
112 computer tomography (CT) scan were performed. If positive, additional positron emission  
113 tomography (PET)-CT was performed. A liver biopsy was taken for immunohistochemical and  
114 histopathological examination if the metastatic spread was limited to the liver. Otherwise a biopsy  
115 from the most accessible site was done. Our center was notified directly when a patient died.  
116 Physical examination notes concerning the patient's final illness and any laboratory procedures or  
117 diagnostic tests were evaluated. Descriptions of pathological specimens from metastatic  
118 melanoma or other metastatic malignancies were collected from The Danish National Pathology  
119 Registry, which is a database containing detailed nationwide records of all pathology specimens  
120 analyzed in Denmark since 1997.

## 121 **Statistical analyses**

122 Descriptive statistics were reported as mean and standard deviation when normally distributed and  
123 as median, range and interquartile range when the data were skewed. Kaplan–Meier survival  
124 curves were computed for chromosomal status and AJCC staging separately and compared by  
125 log-rank test and log-rank test for trend for ordinal variables. A combination of genetic status and  
126 AJCC staging was furthermore illustrated with cumulative incidence curves of melanoma-related  
127 death, which accounted for death by other causes as a competing risk. The relation between  
128 chromosomal status and AJCC stage was evaluated with the Chi-squared test. Only AJCC stages  
129 I–III were included in the analysis due to the limited number of patients in AJCC stage IV (two  
130 patients).

131 The relative risks for uveal melanoma by genetic status and AJCC staging were estimated using  
132 Cox regression models of the events of death by censoring for end of follow-up, or loss to follow-  
133 up, whichever came first. Death by other causes was censored as well in the evaluation of  
134 disease-specific survival. We used time from treatment for uveal melanoma as the time scale and  
135 conducted both unadjusted and adjusted analyses. Stage IV was not included, since the two  
136 patients in this category had already developed metastatic disease. Furthermore, in the adjusted  
137 analysis we accounted for age and sex. Age was included as a continuous variable and tested for  
138 linearity using higher order polynomials. Age was only borderline significant in the multivariate Cox  
139 regression analysis and thus was not included in the final model.

140 Violation of the proportional hazard assumption was tested for all covariates using the test based  
141 on weighed residuals proposed by Grambsch et al.<sup>17</sup> Effect estimates were reported as hazard  
142 ratios with 95% confidence intervals. All statistical tests were two-sided and based on the likelihood  
143 ratio test. A significance level of 5% was applied. The statistical software packages SAS 9.3 (SAS  
144 Institute Inc., Cary, NC, USA), R,<sup>18</sup> and Sigmaplot 12.5 (Systat Software Inc., San Jose, CA, USA)  
145 were used for all analyses.

## 146 **Results**

147 A total of 153 patients were followed through the observation period or until death (44 patients,  
148 29%). Causes of death included metastatic spread of posterior uveal melanoma in 30 patients  
149 (68%), metastatic spread of other synchronous cancers in seven patients (16%) and other causes  
150 in seven patients (16%). Metastatic spread of uveal melanoma was confirmed by histopathological  
151 examination of liver biopsy in 27 of the 30 cases. The diagnosis of synchronous cancers was  
152 confirmed by histopathological examination in all cases and metastatic spread was confirmed by  
153 histopathological examination in six cases. In the seventh case, the clinical and radiological  
154 findings were indicative of metastatic breast cancer as a cause of death, however, no biopsy was  
155 obtained from the multiple metastatic lesions and their origin could therefore not be histologically  
156 verified. One patient was lost to follow-up 7.2 months after treatment. Median follow-up time was  
157 3.1 years (range: 0.2–5.7; interquartile range: 2.2–4.1). Patient and tumor characteristics at  
158 baseline are described in Table 1. Overall survival rates were 78% (95% CI: 71–85%) after 3 years  
159 and 62% (95% CI: 50–73%) after 5 years.

160 Information on AJCC staging was available for all patients. Genetic information from either FISH or  
161 MLPA was available for chromosome 3 in 141 cases, chromosome 8 in 139 cases, chromosome 6  
162 in 138 cases and chromosome 1 in 115 cases (Table 1). In five cases (3.4%) no chromosomal  
163 status could be obtained from the biopsy. Both FISH and MLPA test results for chromosome 3, 6

164 and 8 were available in 64 patients. Identical test results between the two methods were found in  
165 79.6% for chromosome 3 and in only 67.2% for chromosome 8. If the tests only distinguished  
166 between normal and abnormal chromosomal status, these fractions increased to 84.4% and  
167 76.6%, respectively. In 7.8% of cases a partial loss of chromosome 3 was missed by the  
168 centromeric FISH probe, while hyperploidy of chromosome 3 was detected by FISH and missed by  
169 MLPA in 7.8% of cases. In 15.6% of cases a partial gain of the 8q arm was missed by the  
170 centromeric FISH probe while FISH detected gain or loss in 7.8% of cases, which was missed by  
171 the MLPA analysis. Chromosomes 3 and 8 were both normal in 42 of 139 patients (30.2%) and  
172 chromosomes 1, 3, 6 and 8 were normal in 19 of the 114 patients (16.7%) for whom information on  
173 all four tested chromosomes was available. The proportion of patients with a normal chromosomal  
174 status decreased with increasing AJCC stage or AJCC tumor size; chromosomes 3 and 8 were  
175 normal in 39.3% of patients with a stage I tumor, 33.3% of patients with a stage II tumor, 12.0% of  
176 patients with a stage III tumor and in 0 patients with a stage IV tumor (Fig. 1). There was no  
177 significant association between normal genetic status and AJCC stages I–III ( $p = 0.069$ ) or tumor  
178 size T1–T4 ( $p=0.13$ ).

179 Chromosomal aberrations of chromosomes 1, 3, 6 and 8 were pooled and classified as either  
180 normal or abnormal. Univariate analyses evaluating loss and gain showed a significantly increased  
181 risk of melanoma-related death by both loss (HR: 8.2, 95% CI: 1.9–35.0,  $p = 0.004$ ) and gain (HR:  
182 5.1, 95% CI: 1.9–13.8,  $p = 0.001$ ) of chromosome 8 and loss of chromosome 3 (HR: 11.5, 95% CI:  
183 2.7–48.8,  $p = 0.0009$ ). Gain of chromosome 3 demonstrated a non-significant increased risk  
184 compared to a normal copy number (HR: 3.1, 95% CI: 0.3–34.5,  $p = 0.35$ ). Furthermore, there was  
185 no significant difference between the survival distributions of loss of chromosome 3 and gain of  
186 chromosome 8 compared to any abnormality of chromosomes 3 and 8 (Fig. 2). Any abnormality of  
187 chromosomes 3 ( $p < 0.001$ ) or 8 ( $p < 0.001$ ) was significantly associated with poor prognosis, while  
188 abnormalities of chromosome 1 ( $p = 0.51$ ) and 6 ( $p = 0.38$ ) were not significantly associated with  
189 poor prognosis using a log-rank test.

190 Survival of patients in each of the four AJCC stages differed significantly ( $p < 0.0001$ ). Prognostic  
191 accuracy was increased when patients were stratified for both chromosomal abnormalities and  
192 AJCC staging as demonstrated by cumulative incidence curves in Figure 3. Only patients with a  
193 known genetic status of chromosomes 3 and 8 (139 patients) were included in the analyses  
194 excluding one melanoma-specific death and two deaths by other causes (Table 2). AJCC stage IV  
195 (2 melanoma-specific deaths) was also excluded from the Cox regression analyses, leaving 27  
196 melanoma-specific events and 39 all-cause events for study. Melanoma-related deaths were  
197 observed in one (2.4 %) of 42 patients with a normal genetic status of chromosomes 3 and 8, and  
198 in 28 (28.9 %) of 97 patients with any abnormality of chromosomes 3 and 8. Significant  
199 associations with melanoma-related death were demonstrated in Cox univariate analyses for age  
200 (HR: 1.03, 95% CI: 1.002–1.06,  $p = 0.04$ ), AJCC stage III tumors (HR: 20, 95% CI: 2.6–153.9,  
201  $p = 0.004$ ), and aberrations of chromosome 3 (HR: 11, 95% CI: 2.5–45.1,  $p = 0.001$ ) and  
202 chromosome 8 (HR: 5.4 95% CI: 2.01–14.3,  $p = 0.0008$ ) (Table 3). In Cox multivariate analysis,  
203 AJCC stage III (HR: 11.1, 95% CI: 1.4–86.3,  $p = 0.02$ ) and abnormal status of chromosomes 3  
204 (HR: 6.3, 95% CI: 1.4–28.3,  $p = 0.02$ ) and 8 (HR: 2.8, 95% CI: 1.03–7.8,  $p = 0.043$ ) remained  
205 separate significant predictors of melanoma-related death.

206

207

208 **Discussion**

209 The AJCC staging system and genetic status for chromosomes 3 and 8 are both valuable tools  
210 when counseling patients with ciliary and choroidal melanoma on metastasis-free survival.  
211 Interestingly, we found that normal genetic status minimized the prognostic value of AJCC staging  
212 (Fig. 3B), while AJCC staging provided further stratification of tumors with chromosomal  
213 abnormalities for a more accurate prediction of survival (Fig. 3C). To our knowledge the reduced  
214 prognostic effect of AJCC staging among patients with a normal genetic status has not previously  
215 been described, although it has been implied for GEP, where all class one tumors are considered  
216 low risk regardless of clinical tumor characteristics.<sup>19</sup> The importance of combining  
217 clinicopathological characteristics and genetic factors, as shown for genetically abnormal tumors in  
218 our consecutive cohort, has previously been suggested by Kivelä et al.<sup>19</sup> and demonstrated in a  
219 case-control study of 116 uveal melanoma patients by Ewens et al.<sup>13</sup> However, the non-  
220 consecutive design and artificial sampling of their study did not allow an evaluation of metastatic  
221 risk beyond two years. The population-based design of our study allowed us to evaluate the time  
222 from primary treatment to metastatic death with Cox multivariate analyses which identified both  
223 AJCC stage III and abnormal genetic status of chromosome 3 as strong individual factors of a poor  
224 prognosis. Our study presents a well-defined cohort from a Scandinavian population and the  
225 overall five-year survival rate of 62% was in accordance with previous Scandinavian studies.<sup>20-22</sup>  
226 The consecutive series of patients from a single center rules out bias of more complicated or  
227 advanced cases that could be a problem in larger series from tertiary referral centers. In addition,  
228 the central registry in Denmark allows for a thorough follow-up. Indeed, only one patient was lost to  
229 follow-up at the time of data sampling. It has previously been shown that death by metastatic  
230 melanoma is underestimated in non-audited registry data.<sup>1</sup> We therefore evaluated all  
231 histopathological descriptions and clinical records regarding the final illness of all diseased patients  
232 in our cohort. Furthermore, histopathological diagnosis of metastases arising from other cancers  
233 was confirmed in all cases but one. In this case, the cause of death relied on a review of clinical  
234 charts.

235 Our findings regarding the predictive value of chromosomes 3, 8 and AJCC stage (Table 3)  
236 correlated well with results from another study by Ewens et al. evaluating prognostic factors in a  
237 cohort of 320 cases.<sup>9</sup> However, we were unable to demonstrate the same significant prognostic  
238 effect of chromosome 1p loss, even though, the frequency of chromosome 1p loss detected in our  
239 study (23.5 %) was similar to the frequency described by Ewens et. al (18.8%).<sup>9</sup> Limited statistical  
240 power in our study may explain this difference, as genetic status of chromosome 1 was only  
241 available in 114 patients. The cohort study by Ewens et al. also showed a significant correlation  
242 between male gender and poor survival, which was not reproduced in our data. As a larger  
243 proportion of males died from other causes in our cohort, they were censored and subsequently no  
244 longer at risk for metastatic disease. This could explain why we found that females had a  
245 statistically non-significant 43% greater risk of melanoma-related death than males. Deaths by  
246 other causes constituted 32% of all observed deaths in our study, and this likely contributed to bias  
247 in the effect of age and gender on survival. To address this problem we evaluated both endpoints  
248 e.g. melanoma-related death and all-cause mortality in the statistical analyses (Table 3).  
249 Furthermore the individual and combined prognostic effect of genetic status and AJCC staging was  
250 evaluated by cumulative incidence rates of melanoma-related death which accounted for death by  
251 other causes as a competing risk. It has previously been demonstrated that cumulative incidence

252 estimates of melanoma related mortality are more accurate than Kaplan-Meier estimates in the  
253 presence of competing risks.<sup>1</sup>

254 The small sample size was an important limitation of our study. We were therefore unable to  
255 provide information on survival in the different subgroups of the AJCC stages. We did, however,  
256 demonstrate a significant ( $p < 0.0001$ ) and similar stratification of survival between the four AJCC  
257 stages (Figure 3A) as previously described.<sup>5</sup> In addition, the small sample size did not enable us to  
258 differentiate between loss and gain of chromosomes 3 and 8. However, both loss and gain of  
259 chromosome 8 was associated with a significant elevated risk of melanoma related death in our  
260 study. This is in accordance with previous studies where loss of the p-arm of chromosome 8 has  
261 been associated with a poor prognosis.<sup>9</sup> As our results relied mostly on FISH using a centromeric  
262 probe for chromosome 8, we were, however, not able to determine the specific location of the  
263 aberrations. Gain of chromosome 3 was detected by FISH analysis in 13 tumors (9.2%), which all  
264 demonstrated a complex genotype with more than two copies of at least two of the four tested  
265 chromosomal regions. Gain of chromosome 3 has previously been demonstrated in 2–4% of  
266 cases.<sup>23,24</sup> In our study we found a trend towards an increased risk of melanoma-related death in  
267 patients with gain of chromosome 3, though it was not significant which might be caused by the  
268 limited number of patients. A restricted evaluation of survival based only on loss of chromosome 3  
269 would have excluded these 13 (9.2%) patients, subsequently making counseling and planning of  
270 follow-up for these patients difficult from a clinical point of view. In fact, of all patients with abnormal  
271 genetic status of chromosomes 3 and 8 ( $n = 59$ ), 20 patients did not present the genetic  
272 combination of both loss of chromosome 3 and gain of chromosome 8 but showed either gain of  
273 chromosome 3 or loss of chromosome 8. In our study these patients presented a similar poor  
274 survival as the patients demonstrating tumors with loss of chromosome 3 and gain of chromosome  
275 8 (Figure 2). Consequently any aberration of both chromosomes 3 and 8 identified a group of  
276 patients with a high incidence of melanoma-related mortality, while an abnormal status of only one  
277 of the two chromosomes identified a ‘middle’ group with a moderately elevated incidence of  
278 melanoma-related mortality (Figure 3D). Furthermore, when compared to a study population with  
279 similar composition in regard to tumor size (mean largest basal diameter = 12 mm, mean tumor  
280 height = 5.8 mm), our study demonstrated equivalent distinction between mortality rates of normal  
281 and abnormal chromosomal status as found with GEP for class 1 and class 2 (2.4% vs. 4.6% and  
282 28.9% vs. 28.6%, respectively).<sup>25</sup>

283 The prognostic evaluation of chromosome 6 in our study was limited. A frequent occurrence of  
284 simultaneous gain of chromosome 6p and loss of 6q was detected by MLPA. The probe for FISH  
285 analysis of chromosome 6 covered the centromeric region only and could therefore miss an  
286 abnormality of either the 6p or 6q arm. Gain of chromosome 6p has previously been associated  
287 with a favorable prognosis. However as most of the genetic data in our study was based on FISH  
288 analysis, we were not able to assess the individual effect of chromosome 6p loss.

289 The overall agreement between FISH and MLPA was in accordance with previous studies looking  
290 at FISH as a primary test method.<sup>26,27</sup> In addition, we found that MLPA and FISH provided further  
291 information for chromosome 3 in 7.8 % and 7.8 % of cases, respectively, which suggest that a  
292 combination of tests could be beneficial.

293 The frequency of a normal genetic status in the tumors decreased with increasing tumor size and  
294 thereby increasing AJCC stage (Figure 1). The correlation between increasing tumor size and  
295 aberration of chromosomes 3 and 8 has previously been shown.<sup>28</sup> It has also been shown that



296 tumors can be heterogeneous and genetic aberrations could be missed with a biopsy especially in  
297 large tumors.<sup>29</sup> However, a normal genetic status was only identified in three patients with a stage  
298 III tumor and none of these patients had developed metastatic disease during follow-up (Figure  
299 3B).

300 AJCC staging is a validated prognostic tool for patients with choroidal and ciliary body melanoma,  
301 but information on genetic status provided additional information on survival. While normal  
302 chromosomal status predicted a favorable survival, a combination of both AJCC staging and  
303 chromosomal status gave the most accurate prediction of melanoma-related death.

304

## 305 References

- 306 1. Kujala E, Makitie T, Kivela T. Very long-term prognosis of patients with malignant uveal  
307 melanoma. *Invest Ophthalmol Vis Sci.* 2003;44:4651-4659.
- 308 2. Gomez D, Wetherill C, Cheong J et al. The Liverpool uveal melanoma liver metastases  
309 pathway: outcome following liver resection. *J Surg Oncol.* 2014;109:542-547.
- 310 3. Shields CL, Furuta M, Thangappan A et al. Metastasis of uveal melanoma millimeter-by-  
311 millimeter in 8033 consecutive eyes. *Arch Ophthalmol.* 2009;127:989-998.
- 312 4. Malignant melanoma of the uvea. In: Edge SB, Byrd DR, Compton CC, et al, eds. *AJCC*  
313 *Cancer Staging Manual.* 7th ed. New York:Springer; 2010:547-559.
- 314 5. Kujala E, Damato B, Coupland SE et al. Staging of ciliary body and choroidal melanomas  
315 based on anatomic extent. *J Clin Oncol.* 2013;31:2825-2831.
- 316 6. Shields CL, Kaliki S, Furuta M, Fulco E, Alarcon C, Shields JA. American Joint Committee on  
317 Cancer classification of posterior uveal melanoma (tumor size category) predicts prognosis in  
318 7731 patients. *Ophthalmology.* 2013;120:2066-2071.
- 319 7. Cassoux N, Rodrigues MJ, Plancher C et al. Genome-wide profiling is a clinically relevant  
320 and affordable prognostic test in posterior uveal melanoma. *Br J Ophthalmol.* 2014;98:769-  
321 774.
- 322 8. Damato B, Dopierala JA, Coupland SE. Genotypic profiling of 452 choroidal melanomas with  
323 multiplex ligation-dependent probe amplification. *Clin Cancer Res.* 2010;16:6083-6092.
- 324 9. Ewens KG, Kanetsky PA, Richards-Yutz J et al. Genomic profile of 320 uveal melanoma  
325 cases: chromosome 8p-loss and metastatic outcome. *Invest Ophthalmol Vis Sci.*  
326 2013;54:5721-5729.
- 327 10. Kilic E, van GW, Lodder E et al. Clinical and cytogenetic analyses in uveal melanoma. *Invest*  
328 *Ophthalmol Vis Sci.* 2006;47:3703-3707.
- 329 11. Damato B, Eleuteri A, Taktak AF, Coupland SE. Estimating prognosis for survival after  
330 treatment of choroidal melanoma. *Prog Retin Eye Res.* 2011;30:285-295.
- 331 12. Trolet J, Hupe P, Huon I et al. Genomic profiling and identification of high-risk uveal  
332 melanoma by array CGH analysis of primary tumors and liver metastases. *Invest Ophthalmol*  
333 *Vis Sci.* 2009;50:2572-2580.

- 334 13. Ewens KG, Kanetsky PA, Richards-Yutz J et al. Chromosome 3 Status Combined With BAP1  
335 and EIF1AX Mutation Profiles Are Associated With Metastasis in Uveal Melanoma. *Invest*  
336 *Ophthalmol Vis Sci.* 2014;55:5160-5167.
- 337 14. Onken MD, Worley LA, Char DH et al. Collaborative Ocular Oncology Group report number  
338 1: prospective validation of a multi-gene prognostic assay in uveal melanoma.  
339 *Ophthalmology.* 2012;119:1596-1603.
- 340 15. Onken MD, Worley LA, Ehlers JP, Harbour JW. Gene expression profiling in uveal melanoma  
341 reveals two molecular classes and predicts metastatic death. *Cancer Res.* 2004;64:7205-  
342 7209.
- 343 16. Bagger M, Tebering JF, Kiilgaard JF. The ocular consequences and applicability of minimally  
344 invasive 25-gauge transvitreal retinochoroidal biopsy. *Ophthalmology.* 2013;120:2565-2572.
- 345 17. Grambsch PM, Terneau TM. Proportional Hazards Tests and Diagnostics Based in Weighted  
346 Residuals. *Biometrika.* 2014;81:515-526.
- 347 18. R: A Language and Environment for Statistical Computing, R Core Team, R Foundation for  
348 Statistical Computing, Vienna, Austria, <http://www.R-project.org/>. 2013;
- 349 19. Kivela T, Kujala E. Gene expression profiling versus TNM classification. *Ophthalmology.*  
350 2013;120:1109-
- 351 20. Isager P, Engholm G, Overgaard J, Storm H. Uveal and conjunctival malignant melanoma in  
352 denmark 1943-97: observed and relative survival of patients followed through 2002.  
353 *Ophthalmic Epidemiol.* 2006;13:85-96.
- 354 21. Bergman L, Seregard S, Nilsson B, Lundell G, Ringborg U, Ragnarsson-Olding B. Uveal  
355 melanoma survival in Sweden from 1960 to 1998. *Invest Ophthalmol Vis Sci.* 2003;44:3282-  
356 3287.
- 357 22. JENSEN OA. MALIGNANT MELANOMAS OF THE UVEA IN DENMARK 1943-1952. A  
358 CLINICAL, HISTOPATHOLOGICAL, AND PROGNOSTIC STUDY. *Acta Ophthalmol*  
359 *(Copenh).* 1963;43:SUPPL-220.
- 360 23. Bronkhorst IH, Maat W, Jordanova ES et al. Effect of heterogeneous distribution of  
361 monosomy 3 on prognosis in uveal melanoma. *Arch Pathol Lab Med.* 2011;135:1042-1047.
- 362 24. McCannel TA, Chang MY, Burgess BL. Multi-year follow-up of fine-needle aspiration biopsy  
363 in choroidal melanoma. *Ophthalmology.* 2012;119:606-610.
- 364 25. Correa ZM, Augsburger JJ. Sufficiency of FNAB aspirates of posterior uveal melanoma for  
365 cytologic versus GEP classification in 159 patients, and relative prognostic significance of  
366 these classifications. *Graefes Arch Clin Exp Ophthalmol.* 2014;252:131-135.
- 367 26. Singh AD, Aronow ME, Sun Y et al. Chromosome 3 status in uveal melanoma: a comparison  
368 of fluorescence in situ hybridization and single-nucleotide polymorphism array. *Invest*  
369 *Ophthalmol Vis Sci.* 2012;53:3331-3339.
- 370 27. Vaarwater J, van den Bosch T, Mensink HW et al. Multiplex ligation-dependent probe  
371 amplification equals fluorescence in-situ hybridization for the identification of patients at risk  
372 for metastatic disease in uveal melanoma. *Melanoma Res.* 2012;22:30-37.

- 373 28. Damato BE, Heimann H, Kalirai H, Coupland SE. Age, Survival Predictors, and Metastatic  
374 Death in Patients With Choroidal Melanoma: Tentative Evidence of a Therapeutic Effect on  
375 Survival. *JAMA Ophthalmol.* 2014;
- 376 29. Dopierala J, Damato BE, Lake SL, Taktak AF, Coupland SE. Genetic heterogeneity in uveal  
377 melanoma assessed by multiplex ligation-dependent probe amplification. *Invest Ophthalmol*  
378 *Vis Sci.* 2010;51:4898-4905.  
379  
380  
381

382 **Table 1** baseline characteristics  
 383

<b>Parameter</b>	<b>%*</b>	<b>384</b>
No. of ptt	153	385
No. of biopsies	148	386
Age at diagnosis (years)		387
Mean ( $\pm$ SD)	62.0 ( $\pm$ 14.4)	388
Median (range; interquartile range)	63.0 (24–91; 52.0–73.5)	389
<b>Gender</b> , (no. of ptt (%))		390
Male	75 (49.0)	391
Female	78 (51.0)	392
<b>Tumor size</b> (median, range; interquartile range)		393
Largest basal diameter (mm)	11.9 (3–25; 10.0–15.4)	394
Tumor Height (mm)	5.0 (1–22; 3.5–7.5)	395
Tumor size (mean ( $\pm$ SD))		396
Largest basal diameter (mm)	12.7 ( $\pm$ 4.2)	397
Tumor Height (mm)	5.9 ( $\pm$ 3.4)	398
<b>AJCC stages, no. of ptt (%)</b>		399
Stage I	32 (20.9)	400
Stage IIA	63 (41.2)	401
Stage IIB	28 (18.3)	402
Stage IIIA	18 (11.8)	403
Stage IIIB	10 (6.5)	404
Stage IIIC	0 (0.0)	405
Stage IV	2 (1.3)	406
<b>Chromosomal status</b>		407
Chromosome 1	115	408
Normal	81 (70.4)	409
Loss	27 (23.5)	410
Gain	7 (6.1)	411
Chromosome 3	141	412
Normal	56 (39.7)	413
Loss	72 (51.1)	414
Gain	13 (9.2)	415
Chromosome 6	138	416
Normal	87 (63.0)	417
Loss	9 (6.5)	418
Gain	42 (30.4)	419
Chromosome 8	139	420
Normal	66 (47.5)	421
Loss	9 (6.5)	422
Gain	64 (46.0)	423

421 \* Data are expressed as percentages unless otherwise indicated. SD = Standard deviation, No. = number,  
 422 ptt = patients, AJCC = American Joint Committee on Cancer staging (7<sup>th</sup> ed.)  
 423

424 **Table 2** The distribution of observed deaths in relation to AJCC stage and chromosomal status.

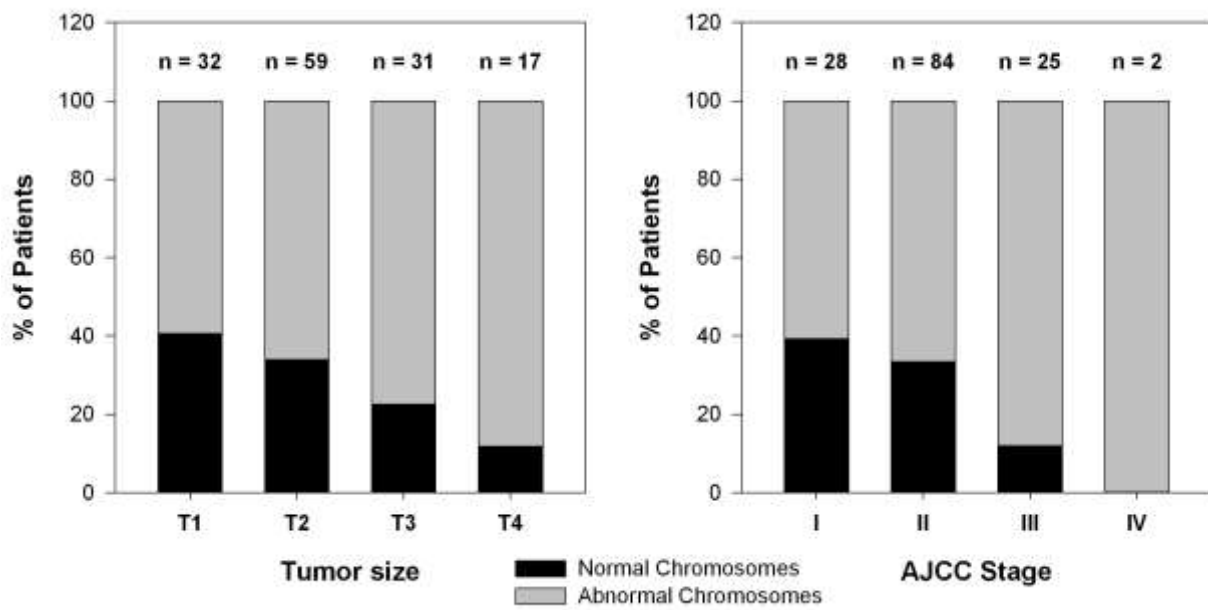
<b>Chromosomal status</b>	<b>AJCC Stage I</b>	<b>AJCC Stage II</b>	<b>AJCC Stage III</b>	<b>AJCC Stage IV</b>
<b>Normal</b>	<b>11</b>	<b>28</b>	<b>3</b>	<b>0</b>
MM-related death	0	1	0	0
Death by other causes	0	2	0	0
<b>Abnormal Chr. 3 OR 8</b>	<b>9</b>	<b>22</b>	<b>7</b>	<b>0</b>
MM-related death	0	3	2	0
Death by other causes	1	4	0	0
<b>Abnormal Chr. 3 AND 8</b>	<b>8</b>	<b>34</b>	<b>15</b>	<b>2</b>
MM-related death	1	10	10	2
Death by other causes	1	3	1	0
<b>No information on Chr. 3 or 8</b>	<b>4</b>	<b>7</b>	<b>3</b>	<b>0</b>
MM-related death	0	0	1	0
Death by other causes	0	1	1	0
<b>Total</b>	<b>32</b>	<b>91</b>	<b>28</b>	<b>2</b>
MM-related death	1	14	13	2
Death by other causes	2	10	2	0

425 Chr. = chromosomes, MM = Metastatic melanoma

426 **Table 3** Univariate and multivariate Cox regression analysis for the association of AJCC stage and genetic status with uveal melanoma related mortality  
 427 and all-cause mortality

Variables	Univariate analysis						Multivariate analysis (AJCC stage, Chr. 3 and Chr. 8)					
	Cause-specific mortality			All-cause mortality			Cause-specific mortality			All-cause mortality		
	HR	(95% CI)	p-value	HR	(95% CI)	p-value	HR	(95% CI)	p-value	HR	(95% CI)	p-value
<b>Sex</b>												
male	Ref.			Ref.			-			-		
female	1.43	(0.67–3.085)	0.36	1.048	(0.56–1.97)	0.88	-			-		
<b>Age (yrs)</b>	<b>1.034</b>	<b>(1.004–1.07)</b>	<b>0.03</b>	<b>1.034</b>	<b>(1.008–1.06)</b>	<b>0.01</b>	-			-		
<b>AJCC* st.</b>												
I	Ref.			Ref.			Ref.			Ref.		
II	6.07	(0.79–46.39)	0.082	3.31	(0.99–11.1)	0.051	4.83	(0.63–37.30)	0.13	2.91	(0.86–9.86)	0.086
III	<b>17.55</b>	<b>(2.28–135.13)</b>	<b>0.0059</b>	<b>6.35</b>	<b>(1.81–22.33)</b>	<b>0.004</b>	<b>11.04</b>	<b>(1.42–85.63)</b>	<b>0.022</b>	<b>4.62</b>	<b>(1.26–15.84)</b>	<b>0.021</b>
<b>Chr. abn.</b>												
Chr. 1	1.33	(0.58–3.04)	0.27	1.46	(0.74–2.88)	0.27	-			-		
Chr. 3	<b>10.43</b>	<b>(2.47–44.06)</b>	<b>0.0014</b>	<b>5.60</b>	<b>(2.19–14.33)</b>	<b>0.0003</b>	<b>6.31</b>	<b>(1.41–28.27)</b>	<b>0.016</b>	<b>4.14</b>	<b>(1.54–11.09)</b>	<b>0.0048</b>
Chr. 6	0.68	(0.29–1.61)	0.38	0.85	(0.43–1.69)	0.65	-	-		-		
Chr. 8	<b>5.37</b>	<b>(2.013–14.33)</b>	<b>0.0008</b>	<b>2.98</b>	<b>(1.47–6.03)</b>	<b>0.0023</b>	<b>2.83</b>	<b>(1.033–7.77)</b>	<b>0.043</b>	<b>1.70</b>	<b>(0.81–3.55)</b>	<b>0.16</b>

428 HR = Hazard ratio, CI = confidence interval, yrs=years, AJCC st.= American Joint Committee on Cancer stage, Chr.abn.= chromosomal abnormalities,  
 429 chr. = chromosome, ref = reference. \*AJCC stage IV was not included in the analyses because this patient group had already developed metastatic  
 430 disease at time of diagnosis  
 431

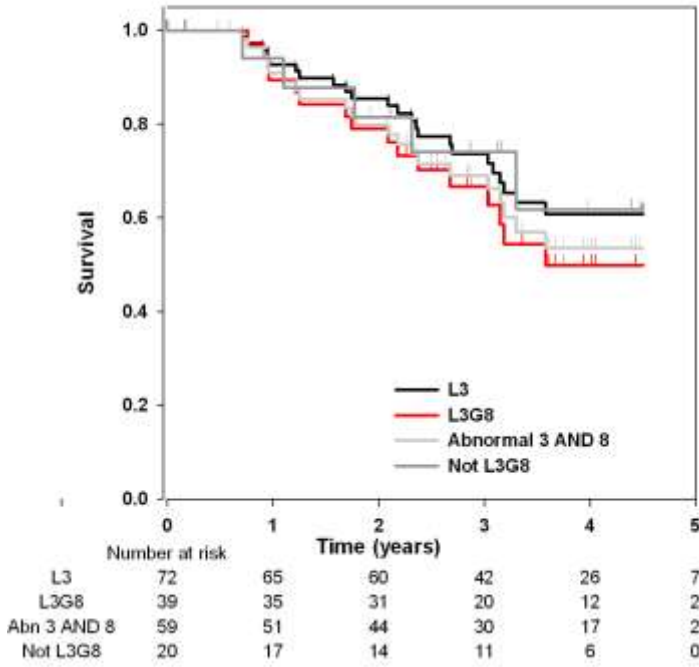


432 **Figure 1** The proportion of normal genetic status of chromosomes 3 and 8 (black box) in relation to AJCC  
 433 tumor size and AJCC stage.  
 434

435

436

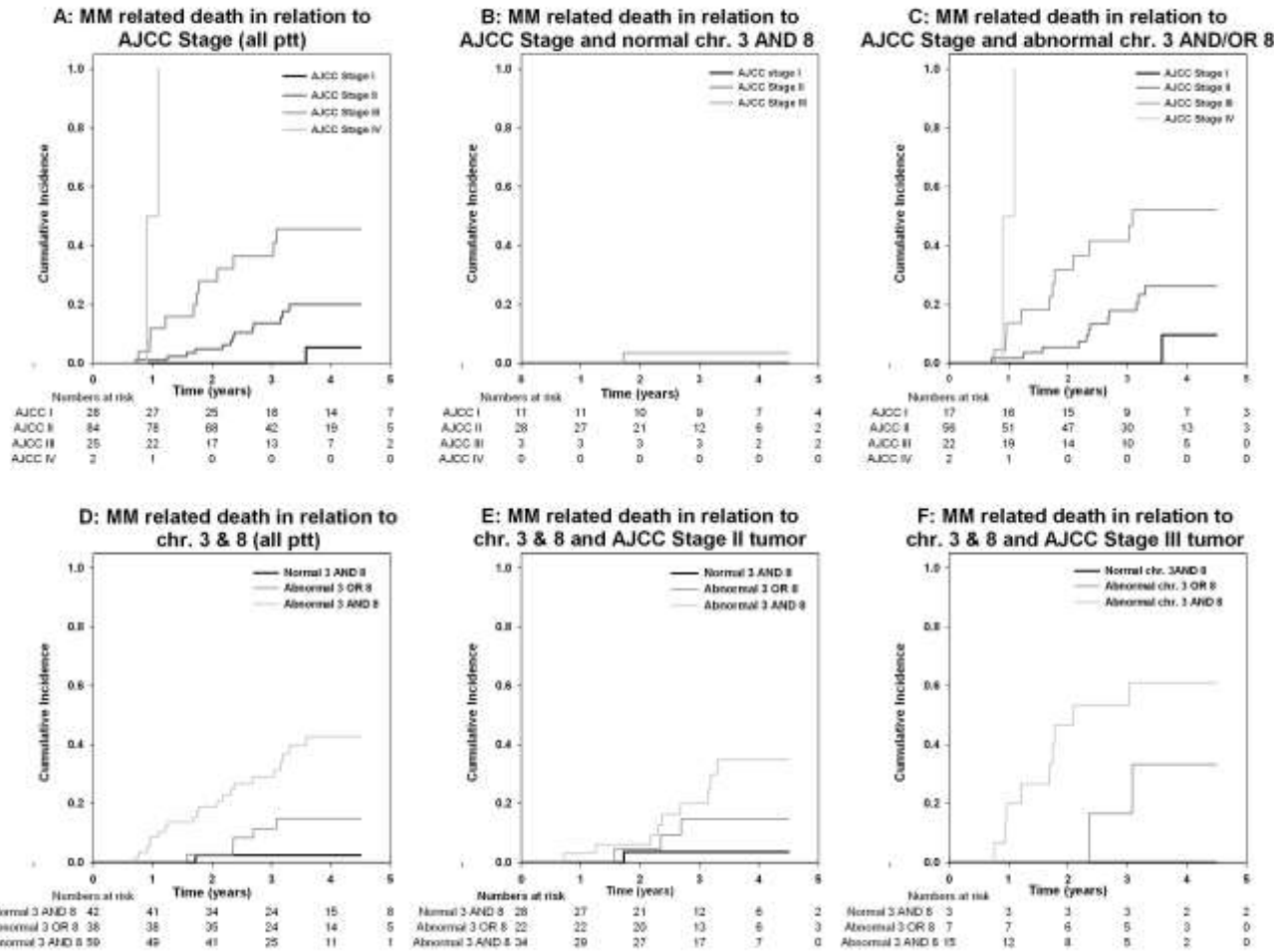
**Survival in relation to chr. 3 AND 8 status**



438

439 **Figure 2** Melanoma-related survival distribution of all patients with aberrations of chromosomes 3 AND 8  
 440 (Abnormal 3 AND 8; light grey line), subdivisions of patients with the combination of loss of chromosome 3  
 441 AND gain of chromosome 8 (L3G8; red line) and patients with either gain of chromosome 3 in addition to  
 442 gain of chromosome 8 or loss of chromosome 3 and loss of chromosome 8 (Not L3G8; dark grey line). The  
 443 survival distribution of loss of chromosome 3 regardless of chromosome 8 status is also shown (L3; black  
 444 line).





445

446 **Figure 3** Cumulative incidence of melanoma-related which accounts for death by other causes as a competing risk. First row: Risk of melanoma-related  
 447 death according to AJCC stage **A)** In all patients. **B)** In patients with normal chromosomal status of 3 AND 8. **C)** In patients with abnormal chromosomal  
 448 status of 3 AND/OR 8. Second row: Risk of melanoma-related death according to genetic status of chromosomes 3 and 8 **D)** In all patients. **E)** In  
 449 patients with an AJCC stage II tumor. **F)** In patients with an AJCC stage III tumor. All curves were terminated at 4.5 years due to the limited patient  
 450 numbers at risk beyond this point. Patient number at risk is shown for all groups below the graphs. Ptt = patients, chr. = chromosome